



Parallel tutorial session #7 : MOLECULAR MECHANICS

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Molecular mechanics fundamentals- macroscopic mechanics (classical mechanics) : position, velocity, acceleration

describe the motion : kinematics

force = cause of motion : dynamics

few-body problem : deterministic from Newton's equation

molecular mechanics (statistical mechanics)many-body problem \rightarrow continuum approximation sometimesstochastic, random, chaotic \approx thermal noise

collective behavior can be known, details can be ignored to some extent

- the ensemble concept : one "macro-state" (structure, energy function, ...)

 \equiv many equally accessible "micro-states"

(backbone chain orientations, bond lengths, ...)

ensemble = a set of microstates that correspond to a given macrostate in equilibrium.molecular force : water and proteins are a closed system of very many microstates.

{ the macrostate of water is negligibly affected by the protein

{ water \equiv heat reservoirwhy does a protein bend (kinesin)? deterministic \neq random motion- entropy SLet Ω be the number of microstates in an ensemble ;

why is S defined as a logarithm? S is additive

e.g. dice $\Omega_d = 6$, coin $\Omega_c = 2$ both dice and coin $\Omega_{tot} = \Omega_d \times \Omega_c$ $S_{tot} = S_d + S_c$

some additive physical variables : E, N, V, S

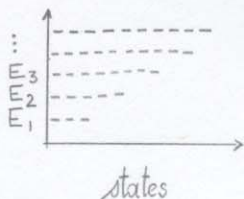
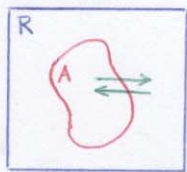
others are independent of system size : T, P, $\rho = N/V$ S \nearrow (entropy) when E \nearrow (energy)

$$\left\{ \begin{array}{l} \Omega = \exp(S/k_B) \\ S = k_B \ln \Omega \\ k_B = 1.38 \times 10^{-23} \text{ J/K} \\ \text{Boltzmann's constant} \end{array} \right.$$

extensive quantities

intensive quantities

- Boltzmann factor



R heat reservoir } Let $p_i = p(E_i)$ the probability
 A system of interest } that A is in a microstate
 \rightleftharpoons exchange of energy } of energy E_i

$$p_i \propto \Omega_R(E_{tot} - E_i) \text{ with } E_{tot} = E_R + E_i = \text{constant}$$

$$\Omega_R(E_{tot} - E_i) = \exp \left[S_R(E_{tot} - E_i) \div k_B \right] \quad (\text{closed system})$$

$$S_R = S_R(E_{tot}) - E_i \left. \frac{\partial S_R}{\partial E} \right|_{E_{tot}} \quad \text{because } E_i \ll E_{tot}$$

$$\frac{1}{T} \equiv \left. \frac{\partial S_R}{\partial E} \right|_{E_{tot}} \text{ defines temperature, hence } S_R = S_R^{(tot)} - \frac{E_i}{T}$$

$$p_i \propto \exp \left(\frac{-E_i}{k_B T} \right) = \exp(-\beta E_i) \quad \text{Boltzmann's factor}$$

after normalization

$$p_i = \frac{1}{Z} \exp(-\beta E_i) \text{ with } \beta \equiv \frac{1}{k_B T}$$

$$Z = \sum_i \exp(-\beta E_i) \quad \text{partition function}$$

- free energy G

start with partition function $Z = \sum_i e^{-\beta E_i} = e^{-\beta F}$ or $\ln Z = -\beta F$

Helmholtz free energy $F = -k_B T \ln Z$ (in joules J)

we can now write $p_i = \frac{1}{Z} \exp(-\beta E_i)$ (in log:) $\ln p_i = -\beta E_i + \beta F$

and consider a particular state whose energy is equal to the average of the system A:

$$\bar{E} = \langle E \rangle$$

$$E_i = \bar{E} \Rightarrow \ln p(\bar{E}) = -\beta \bar{E} + \beta F = \overline{-\beta E + \beta F} = \overline{\ln p_i}$$

$$\langle \ln p_i \rangle = -\beta \bar{E} + \beta F = \sum_i p_i \ln p_i$$

Let Ω_i be the number of microstates with energy E_i ; $p_i = \frac{1}{\Omega_i} = \exp\left(-\frac{S_i}{k_B}\right)$

from $\ln p_i = -\frac{S_i}{k_B}$, we get $\sum_i p_i \ln p_i = -\frac{1}{k_B} \sum_i p_i S_i$

and $\bar{S} = \sum_i p_i S_i$ measurable entropy of the system

$$\frac{1}{k_B T} (-\bar{E} + F) = -\frac{\bar{S}}{k_B} \quad \text{or} \quad F = E - TS$$

$$F = E - TS$$

F: free energy of the system
 E: internal energy of the system
 S: entropy of the system
 T: temperature of the reservoir

- Meaning:

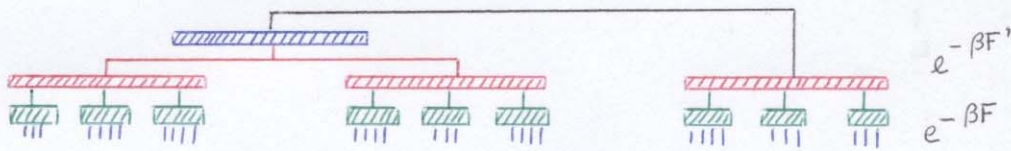
• $F = E - TS$

"free" energy = maximum work the system can do
 let W be the work done by the system $\Delta W \leq -\Delta F$
 with $\Delta F \leq 0$

• $e^{-\beta F} = \sum_i e^{-\beta E_i}$

is a "representative Boltzmann's factor"
 it lumps up all Boltzmann's factors of the system.

protein conformation
 electronic states:
 nuclei quarks:

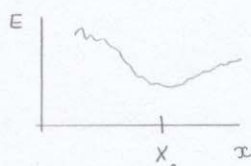


free energy enough to describe the whole system
 at many time, length, energy scales

Gibbs free energy $G = H - TS$

- Equipartition theorem

in a typical equilibrium situation: fluctuations near (free) energy at equilibrium



$$E(x) = E(x_0) + (x-x_0) \underbrace{E'|_{x=x_0}}_{0 \text{ at min}} + \frac{(x-x_0)^2}{2} E''|_{x=x_0}$$

$$E(x) = E(x_0) + a(x-x_0)^2$$

$$p(x) = \frac{\exp(-\beta a(x-x_0)^2)}{Z} \quad \text{with} \quad Z = \int_{-\infty}^{+\infty} dx e^{-\beta a(x-x_0)^2}$$

average energy:

$$\begin{aligned} \langle E \rangle &= \int dx p(x) a(x-x_0)^2 = \frac{1}{Z} \int dx a(x-x_0)^2 \exp[-\beta a(x-x_0)^2] \\ &= \frac{k_B T}{2} \quad \text{using the Gaussian integral} \quad \int_{-\infty}^{+\infty} e^{-ay^2} dy = \sqrt{\frac{\pi}{a}} \end{aligned}$$

energy per degree of freedom = $\frac{RT}{2}$ with $R = \mathcal{N}_A k_B$

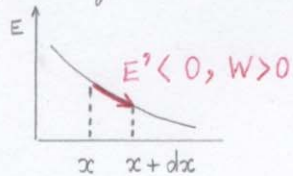
if N degrees of freedom

$$\langle E \rangle = \frac{N}{2} k_B T$$

ex: $N = 3 + 2$
 $\bar{E} = \frac{5}{2} k_B T$

- Generalized force

$E(x) = \dots$, x is called the control parameter
if the system does work W resulting in $x \rightarrow x + dx$

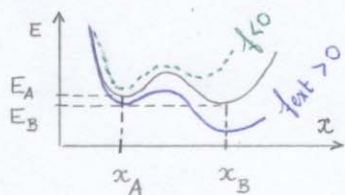


$$dE = dx \cdot \frac{\partial E}{\partial x} = -W$$

$$= -f dx$$

$$f = - \frac{\partial E}{\partial x}$$

- So, in a two-state equilibrium



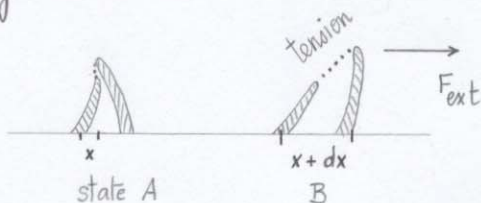
work done ON the system by an external force $f_{ext} \Delta x$
" " BY " " = $- f_{ext} \Delta x$

$$E \rightarrow E - f_{ext} \Delta x$$

equilibrium constant $K_{eq} = \frac{[B]}{[A]} = e^{-\beta(\Delta E - f_{ext} \Delta x)}$

force tilts energy profile

in auditory hair cells



under force, "open state B" is made much more likely.

SUMMARY

statistical mechanics link micro to macro.

Molecular mechanics: ab initio foundation

Ju Lei

see Powerpoint handout by instructor.

- quantum mechanics do matter to study hydrogen bonds
haemoglobin / porphyrin interactions
need to be understood to parameterize / approximate systems.

- why are electrons treated as quantum objects?

electrons $\{ \underline{x}_i \}$ $i = 1..n$ of mass m_e
ions $\{ \bar{x}_I \}$ $I = 1..N$

$$M_I \approx A_I m_p, \quad m_p \approx 2000 m_e$$

$$A_I = 12 \text{ and } Z_I = 6$$

$V_{iI} = \frac{e^2}{|x_i - x_I|}$ electrostatic interaction between electron & ion

de Broglie wavelength $\lambda = \frac{h}{|p|}$, how large is it with respect to electrons?

kinetic energy $\frac{1}{2} m_e v_e^2 = \frac{p_e^2}{2 m_e} \sim 13.6 \text{ eV} \sim \frac{p_I^2}{2 m_I}$

for C-C bond $p_e \sim \sqrt{2 m_e * 13.6 \text{ eV}}$

if $I \equiv C$ $\lambda \sim 0.02 \text{ \AA}$ $\lambda_e = 3.3 \text{ \AA}$

$I \equiv H$ $\lambda \sim 0.08 \text{ \AA}$

electrons' λ permeates through several bonds

"smearing factor" } position fluctuations, quantum, (not thermal!)

↳ structural units

- Born-Oppenheimer approximation: ions are immobile

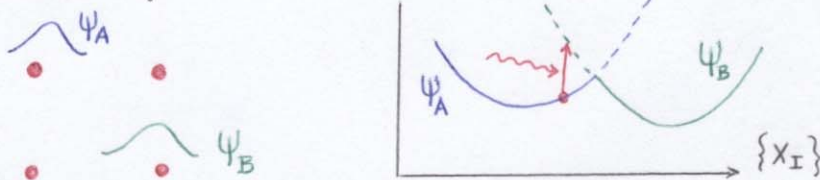
$V_{BO}(\{\bar{x}_I\}_{I=1..N}) \equiv \min_{\Psi(\{x_i\})} E_{tot}(\{x_i\}, \{x_I\})$ electrons fluctuate

energy function $\Psi(\{x_i\}) = \Psi_G(\{x_i\} | \{x_I\})$ electrons in ground state

addendum: Newton's law $M_I \ddot{x}_I = - \frac{\partial V_{BO}(\{x_I\})}{\partial x_I} = \underline{F}_I$

when does the BO approximation break down? steepness of energy landscape = force

• if optically excited



light \rightsquigarrow can make electrons leave the least energetic state

• during diabatic electron transfer (Marcus): you stay on Ψ_{gst} most of the time

when does the addendum break down?

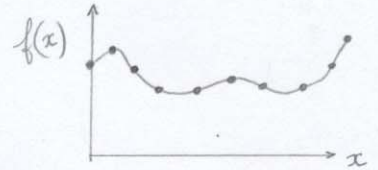
• at low temperature, or for low-weight ions (hydrogen) = quantum too
quantum fluctuation \approx thermal fluctuation at low T

Eigenfunction problem $\hat{H} \Psi_G(x_1, \dots, x_n) = V_{BO} \Psi_G(x_1, x_2, \dots, x_n)$

$$\text{Hamiltonian operator } \hat{H} = \sum_{i=1}^n \frac{-\hbar^2 \nabla_i^2}{2m_e} + \sum_{i \neq j} \frac{e^2}{2|x_i - x_j|} - \sum_{i, I} \frac{z_I e^2}{|x_i - X_I|} + \sum_{I \neq J} \frac{z_I z_J e^2}{2|X_I - X_J|}$$

{ solve with only parameters being Plank's constant \hbar , m_e , e , z_I .
 { ab initio approach

but information explosion: how to store $\Psi_G(x_1, y_1, z_1, \dots, x_n, y_n, z_n)$
 if 1 variable 10 spline points of 8 Bytes each = 80 bytes
 if 60 variables 10^{60} points
 (20 electrons) or 10^{52} CDs of 100 MB each
 !!!



fortunately, symmetry relations, and redundancy in spline functions
 quantum chemistry (Pople)
 density-functional theory (Kohn) } address this issue of huge numbers.
 error in bond energy calculations ↓
 ↳ advances in theoretical methodology
 computational techniques
 computing technology.

- Hartree-Fock theory

approximation of wavefunction by Slater determinant
 from $3n$ -dimensional to n 3 -dimensional problem

$$\Psi \approx S = \frac{1}{\sqrt{n!}} \begin{vmatrix} \tilde{\Psi}_1(x_1) & \dots & \tilde{\Psi}_n(x_1) \\ \vdots & & \vdots \\ \tilde{\Psi}_1(x_n) & \dots & \tilde{\Psi}_n(x_n) \end{vmatrix}$$

add electron spin indication: ↑ or ↓ only takes 1 bit extra.

decompose the total energy into sum of pairs

$$\sum_{i,j} \Delta E(\Psi_i, \Psi_j) \quad \text{with} \quad \Delta E = E_{\text{Hartree}} - E_{\text{exchange}}$$

$$E_H(\tilde{\Psi}_i, \tilde{\Psi}_j) \equiv e^2 \iint dx dx' \frac{[\tilde{\Psi}_i^*(x) \tilde{\Psi}_i(x)][\tilde{\Psi}_j^*(x') \tilde{\Psi}_j(x')]}{|x-x'|} \quad \text{classical}$$

E_{exchange} is quantum!

Molecular mechanics - 7.

the E_{exchange} stabilizes occupation of some spin wavefunction
 (whereas spin doesn't matter in E_{Hartree})
 in fact, use several Slater determinants and minimize all configurations by optimizing their coefficients

Correlation energy \equiv energy reduction
 Configuration interaction (CI) is formally exact, but limited to 10-20-atom problems

- Hence density functional theory (DFT) both exchange & correlation accounted for.

Hohenberg and Kohn (1964) $V_{\text{BO}}(\{X_I\}) = V_{\text{BO}}$

$$V(\underline{x}) = \sum_I \frac{e^2 Z_I}{x_i - X_I} \longleftrightarrow \Psi_G(x_1, \dots, x_n) \quad \rho$$

two non-trivially different ion-electron potentials cannot give rise to the same density and vice versa \Rightarrow one-to-one mapping } 8×10^{60} bytes \leftrightarrow 8×10^3 bytes compression

Hohn and Sham (1965): fictitious noninteracting electron system
 $V_{\text{BO}}(\rho(x)) = V_{\text{independent}}(\rho(x)) + V_{\text{Hartree}}(\rho(x)) + V_{\text{exchange correlation}}(\rho(x))$
 this is not a "single-determinant" method like H-F.

\rightarrow approximate* $V_{\text{exch-corr}}$ by a density with Monte Carlo quantum method. derived for homogeneous electron gas.

* LDA: local density approximation
 GGA: generalized gradient approximation. } limitations = heterogeneity

hybrid functionals mix DFT and Hartree-Fock (but not really ab initio?!)
 - Semi-empirical electronic-structure methods

use ab initio or experimental information to fit intrinsic electronic quantities

- interatomic potential / force field method: parameterized V_{BO} surface
 $O(N)$ linear scaling algorithms.